

Before Final - Official

PATENT AMENDMENT TRANSMITTAL

(Provisions of 37 CFR 1.136 Apply)

| | | | |
|--------------------|---|---------------------|------------------|
| Application Number | 10/051,614 | Filing Date | January 18, 2002 |
| Group Art Unit | 2826 | Examiner Name | Thomas L. Dickey |
| Confirmation No. | 9025 | Attorney Docket No. | 782_213 |
| Inventor(s) | Takafumi YAO, Hiromitsu UCHIYAMA and Takaharu IWADACHI | | |
| Invention: | SEMICONDUCTOR LIGHT-EMITTING ELEMENT HAVING FIRST AND SECOND EPITAXIAL LAYER GROUP II-VI SEMICONDUCTOR COMPOUNDS ON A SUBSTRATE | | |

Transmitted herewith is an Amendment in the above-identified application. The fee has been calculated as follows:

CLAIMS AS AMENDED

| (1) | (2) Claims Remaining After Amendment | (3) | (4) Highest Number Previously Paid | (5) No. of Extra Claims Present | (6) Rate (Large Entity) | (7) Additional Fee |
|--|--|-------|--|---------------------------------------|-------------------------------|--------------------------|
| TOTAL CLAIMS | 9 | MINUS | 20 | 0 | \$18.00 | \$ 0.00 |
| INDEP. CLAIMS | 1 | MINUS | 3 | 0 | \$86.00 | \$ 0.00 |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT | | | | | | \$ 0.00 |

EXTENSION OF TERM

- ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.
- ☒ This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application. The requested extension and appropriate non-small entity fee are as follows:

| | | |
|---|----------|-----------|
| <input checked="" type="checkbox"/> One Month (37 CFR 1.17(a)(1)) | \$110.00 | \$ 110.00 |
| <input type="checkbox"/> Two Months (37 CFR 1.17(a)(2)) | \$420.00 | |
| <input type="checkbox"/> Three Months (37 CFR 1.17(a)(3)) | \$950.00 | |

SUBTOTAL OF FEES DUE

\$ 110.00

- ☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount is reduced by one-half, and the resulting fee is:

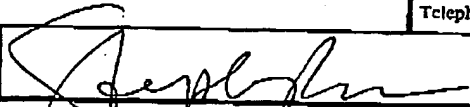
TOTAL FEES DUE

\$ 110.00

FEE PAYMENT


- ☐ No additional fee is required. ☐ A check in the amount of \$_____ is enclosed.
- ☒ Charge Deposit Account 50-1446 in the amount of \$110.00. Enclosed is a duplicate copy of this sheet.
- ☒ Please charge any fees which may be required, or credit any overpayment, to Deposit Account 50-1446.

Submitted By:

| | | | | | |
|-------------------|---|-----------|----------------|--------------|-------------------|
| Name (Print Type) | Stephen P. Burr | Reg. No. | 32,970 | Customer No. | 025191 |
| | | Telephone | (315) 233-8300 | Facsimile | (315) 233-8320 |
| Signature |  | | | Date | November 25, 2003 |

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to Examiner Thomas L. Dickey at the Patent and Trademark Office on November 25, 2003 at (703) 872-9318.


Elizabeth A. VanAntwerp



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FAX Transmission Sheet

November 25, 2003

To: Examiner Thomas L. Dickey Fax No. (703) 872-9318
From: Stephen P. Burr Fax No. (315) 233-8320
Re: In re the Application of: Takafumi YAO, Hiromitsu UCHIYAMA and
Takaharu IWADACHI
Serial No.: 10/051,614 Art Unit: 2826
Date Filed: January 18, 2002 Conf. No. 9025
Title: SEMICONDUCTOR LIGHT-EMITTING ELEMENT HAVING FIRST AND
SECOND EPITAXIAL LAYER GROUP II-VI SEMICONDUCTOR COMPOUNDS
ON A SUBSTRATE

Our Docket No.: 782_213

You should receive 9 page(s) including this cover sheet. If you do not receive all pages, please call (315) 233-8300.

COMMENTS:

I hereby certify that the following paper(s) is/are being facsimile transmitted to Examiner Thomas L. Dickey at (703) 872-9318 at the Patent and Trademark Office on *November 25, 2003*:

| | <u>Paper</u> | <u># of Pages</u> |
|----|---|-------------------|
| 1. | Amendment Transmittal with a Petition for Extension of Time (one month) (in duplicate) | 2 |
| 2. | Amendment | 6 |

Total Pages (including cover page) 9

Elizabeth A. VanAntwerp

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